Introduction to Hatch Projects

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October 23, 2018
Future Webinars

Monday, October 29 from 2:00 – 3:30
ARD Hatch Multistate Funding Opportunity

Thursday, November 1 from 3:00 – 4:00
Preparation of Annual Progress Reports for USDA NIFA
Background

**Morrill Act** (1862) created land grant universities
- 1890 Historically Black Colleges & Universities
- 1994 Tribal Colleges

**Hatch Act** (1887) allocated funds for research to 1862 land grant universities to promote sound and prosperous agriculture and rural life.

**Smith-Lever Act** (1914) provided federal funding for Cooperative Extension
Hatch Funding

- Allocated to the State Agricultural Experiment Station (SAES):
  - All 50 states
  - Washington, DC
  - Puerto Rico
  - Guam
  - Virgin Islands
  - Micronesia
  - American Samoa
  - Northern Marianas Islands
Hatch Funding

“Formula” for Distribution

• Hatch Act was amended in 1955 to a “fixed base” with any amount in excess of the 1955 base distributed as:
  • 20% allotted equally to each state
  • An amount proportional to each state’s share of the total U.S. *rural* population
  • An amount proportional to each state’s share of the total U.S. *farm* population
Other “Formula” Funds

- Hatch Act (1862 research)
- Smith-Lever (extension)
- McIntire-Stennis (forestry research)*
- Animal Health (Section 1433; research)*
- Evans-Allen (1890 research)—not UNL

*A NIFA-approved McIntire-Stennis project or Animal Health project does NOT allow Hatch dollars to be spent on faculty salaries.
Formula Funds = Capacity Grants

- Congress does not understand “formula funds”
- Since 2010, no longer use “formula funds”
- Use “capacity grants”
Hatch Funds

- Hatch funds require 1:1 match from non-federal sources (e.g., state dollars)
- Use Hatch funds to address local or regional issues
- **Research** only
  - NOT allowed to pay tuition remission
Hatch Funds

1998 AREERA legislation:
• 25% of Hatch funds must be spent on multistate committees:
  • Bring scientists together to address common issues
  • Share information and resources
  • Minimize duplication of effort and resources
  • Annual meeting and annual progress report
# Hatch Funds—IANR (FY 2018)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hatch “Regular” funds</td>
<td>~$3.0 million/year</td>
</tr>
<tr>
<td>Hatch “Multistate” funds</td>
<td>~$1.2 million/year</td>
</tr>
<tr>
<td>State match</td>
<td>~$4.2 million/year</td>
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<tr>
<td><strong>Total</strong></td>
<td>~$8.4 million/year</td>
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Separate ARD accounts for Hatch (regular), Hatch (multistate), and state funds.
Hatch Project Types

Hatch Regular*: Individual investigator
Team of investigators

Hatch Multistate**: Individual investigator
Team of investigators

* ~80% used for tenure-track faculty salaries (not for nontenure-track faculty salaries).
** ARD manages Hatch Multistate funds through a competitive process—see below.
• ARD Tenure-Track faculty **MUST** have Hatch project
  • Hatch (regular) OR Hatch (multistate)—NOT both!
  • Required when faculty research aligns with USDA mission
  • Not required for faculty with biomedical research interests

• ARD encourages Hatch **team** projects
  • Use unit resources to help support team projects

• Non-tenure track faculty are **not** required to have Hatch project (can be Co-PD)
Hatch Projects
(Individual or Team)

Format Guidelines: http://ard.unl.edu/hatch-projects

Describe a five-year research plan

• Statement of Issues & Justification
• Related Current and Previous Work (REEIS/CRIS search)
• Goals/Objectives (include team members contributing to each obj)
• Methods
• Target Audience
• Products
• Outcomes
• Non-Technical Summary
• Measurement of Progress and Results
• Current and/or Potential Collaborators (outside UNL)
ARD Agreement to Participate In Team Hatch Projects

As a member of this Team Hatch project:

I. I have contributed to the:
   • Intellectual development of hypotheses or formulation of research questions
   • Writing/editing of the Hatch project;
   • Design of experiments, including analysis/interpretation of data/results;
   • Development of a plan to obtain external funding to support the proposed studies

II. I agree to be an active participant in regular team meetings

III. I agree to provide data, results, and updates to annual progress reports and the final termination report as requested by the Project Director.

https://ard.unl.edu/Team%20Hatch%20Agreement.pdf
Review of Hatch (Regular) Projects (Team or Individual)

Review Guidelines: http://ard.unl.edu/hatch-projects

**Peer Review Criteria (new projects):**

I. Priority and Relevance
II. Technical and Scientific Merit
III. Impacts and Outputs

Team projects, during review meeting, ARD wants to know: roles/responsibilities, management, communication, data sharing, etc.
Review of Regular Hatch Projects
(Team or Individual)

Submit project to Department Head/Chair/Director
• New individual projects--two internal reviewers
• New team projects—Department Head(s) review

Submit project to ARD
• Peer review of new individual or new team projects

Submit to NIFA for approval
• Submit project initiation forms in REEport
• Ask Jaclyn Monaghan for assistance!

https://ard.unl.edu/hatch-projects
ARD Tenure-Track faculty **required** to have a Hatch project

- Letter of offer requires Hatch project within year 1
- Department Head/Chair/Director will advise
- Faculty with biomedical research interests are exempt
- Hatch (Regular) dollars used for a portion of tenured/tenure-track faculty salaries
- Encourage Hatch **team** projects
- Contact ARD with questions
- Jaclyn Monaghan will assist with REEport
Hatch (Regular) Projects

Questions?
Hatch Multistate Committees

First letter or two indicates region where the project was initiated and is now administered:

- **NC** (North Central)
- **NE** (Northeast)
- **S** (Southern)
- **W** (Western)

Can join any project in any region!

http://ncra.info/MSR_NomenclatureDefinitions.php
Types of National Multistate Committees

- After the regional designation (NC, NE, S, W) some types of national Multistate Committees have additional letters to designate the type of national Multistate Committee (AC, CC, DC, ERA)
- National Research Support Projects (NRSP-8)
- ARD faculty can join any type of national Multistate Committee
- Not all types of national Multistate Committees are eligible for ARD Hatch Multistate funding
  - Eligible: Multistate Research Committees, NRSP
  - Not Eligible: AC, CC, DC, ERA

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees
Not Eligible for ARD Funding

AC: Advisory Committee
• NCAC-xxxx; NEAC-xxxx; SAC-xxxx; WAC-xxxx

CC: coordinating committee
• NCCC-xxxx; NECC-xxxx; SCC-xxxx; WCC-xxxx

DC: development committee
• NCDC-xxxx; NEDC-xxxx; SDC-xxxx; WDC-xxxx

ERA: education/extension and research activity
• NCERA-xxxx; NEERA-xxxx; SERA-xxxx; WERA-xxxx
Hatch Multistate Committees

Multistate **Research** Committees
- Primarily research
- Funding for research
- Support for travel to annual meetings
- Requires annual progress report in REEport

**NC-xxxx; NE-xxxx; S-xxxx; W-xxxx**

Numbers change when project renewed (or not)?

http://ncra.info/MSR_NomenclatureDefinitions.php
Multistate Committees

How to find a relevant, national multistate committee?

• Ask departmental colleagues

• Search NIMSS to find a committee: www.nimss.org

• Ask ARD Associate/Assistant Deans
To become a member of a national, multistate committee:

- Must be tenure-track faculty member with ARD appointment
- Must complete Appendix E to join the national, five-year project to be official member of committee
- Appendix E: indicate percent effort on objective(s) of national project that you work on
- No description of plan of work at Nebraska
- Can join the national multistate committee at any time during the five-year, national project
- ARD provides travel funds to annual multistate committee meetings (one multistate committee meeting/year/person)
1) Can a tenure-track ARD faculty member be a member of >1 national, multistate committees?
Yes, but ARD will only provide funding for travel to 1 national, multistate committee meeting per year per person.

2) Can >1 tenure-track ARD faculty be members of the same national, multistate committee?
Yes. ARD will usually (with approval prior to travel) provide funding for >1 tenure-track ARD faculty members to attend the same annual, national multistate committee
ARD competition for Hatch Multistate funds:

- Supplemental research (up to $10,000/year x 5 years)
- Enhanced research (up to $100,000/year x 5 years)
- Apply when national committee is up for renewal
- New in 2019: only allow 5-year projects
- Notification of Intent to Submit due: January 14, 2019
- ARD application deadline January 31
- Must be a member of national multistate committee
- AC, CC, DC or ERA projects are not eligible
- Encourage team projects (enhanced funding)

Webinar: October 23, 2018

http://ard.unl.edu/hatch-multistate-funding
ARD faculty encouraged to participate in Multistate committees

- Appendix E to join the national, multistate committee
- ARD provides travel support to attend annual national, multistate committee meeting (one meeting/year/person)
- ARD funding for Supplemental or Enhanced research
  - Competition (January 31 deadline)
  - Dollars for research (not GRA tuition remission)
  - Multistate project (NIFA approved) can be used to allocate regular Hatch dollars to faculty salaries
ARD faculty can have *either* Hatch **Regular** or Hatch **Multistate** project—don’t need both!
Hatch Projects Summary

Questions?
Hatch Project Initiation

Process Overview

• Project is approved by ARD
• ARD office emails instructions and the assigned Station Number
• PI completes the Project Initiation module in the USDA-NIFA Reporting portal REEport and submits for Institutional (ARD) Review
• ARD reviews the Project for completeness and accuracy then submits to NIFA for review
• NIFA makes decision and changes status in REEport
• Auto-notification of decision is distributed
• ARD forwards notification to unit head and business center
Project Statuses
Assigned by the REEport system:
• **Active** – Approved by NIFA

• **Deferred** – Changes or additional information requested

• **Declined** - Project that has been declined by an NPL; it may not be resubmitted.
REEport

Hatch Project Initiation Cont’d

IRB and IACUC Approvals

IMPORTANT: If your research involves Human Subjects and/or Vertebrate Animals you MUST have IRB and/or IACUC approvals before submitting the Project Initiation

NOTE: If animal or human subjects research is done at a collaborating institution, send copy of approval letter to ARD before submitting Project Initiation forms.
A Project Change can be submitted at any time for an active project due to:

- Change in PI or to add a Co-PI
- Change in End Date to terminate a project early
- Change in goals or objectives
• Reporting Period is October 1-September 30 – reports need to be submitted in REEport for Institutional Review by mid-December
• ARD tracks and notifies Unit Heads & Faculty of reporting requirements
• Progress Reports must be submitted in sequential order
• Content should cover the reporting period
• FTE is hours worked on project regardless of funding
  o 1 FTE= 2080 hours (40 hours x 52 weeks)
• Student CIP count should be a whole number “head count”
• Reporting covers the **duration** of the project
• ARD tracks and notifies Unit Heads of Capacity reporting requirements
• Final Report should only be completed if all prior Progress Reports have been submitted
• Content should cover the project duration **except** FTE and Products
Hatch Project Lifecycle Summary

Project Initiation
  • PI submits for Institutional Review, ARD reviews and submits to NIFA
  • NIFA Decision changes Project Status

Progress Reports submitted in sequential order
  • PI submits for Institutional Review, ARD reviews and submits to NIFA

Final Report covers the entire duration and terminates the project
  • PI submits to for Institutional Review, ARD reviews and submits to NIFA
Hatch REEport Summary


- Project Change for change in PI, end date or project objectives
- FTE is effort worked on Project regardless of funding
- CIP Counts and Codes must be completed if student FTE is reported and are whole numbers
- Reporting Accomplishments and Impacts/Outcomes
  - Please make this section “stand alone” so that NIFA can compile these without needing to refer back to other sections.
- REEport Guides available on ARD webpage:
  http://ard.unl.edu/usda-grant-reporting-reeport
Questions?

The following slides are scenarios based on Frequently Asked Questions.
Question #1

My regular Hatch project expired 09/30/18. I am an official member of a Multistate committee (Appendix E submitted). Does my membership on a multistate committee cover my Hatch requirement to pay a portion of my salary?

No. A NIFA-approved Hatch project (regular or multistate) is needed to allocate Hatch dollars (regular or multistate) to faculty salaries. MUST write a new regular or multistate Hatch project and get NIFA approval before Hatch funds can be used to pay a portion of faculty salary.
I have a regular Hatch project that is approved by NIFA. I would like to compete for Hatch multistate funding at UNL? What should I do?

1) Find a national multistate committee that aligns with your research interests. (www.nimss.org)
2) Submit an Appendix E to become an official member of the multistate committee.
3) Compete for Hatch multistate funding at UNL during the year that the national project is being renewed or the first year of the new project  
   *(AC, CC, DC, or ERA projects are not eligible)*
I am a new faculty in IANR and have been told that I need a Hatch project to cover part of my salary. Should I submit a team or individual project? Regular or Multistate?

1) ARD encourages team Hatch projects.
2) If there is a relevant, national multistate committee, encourage multistate team Hatch project.
3) If no relevant, national multistate committee, encourage regular team Hatch project.

➢ Note: Only Tenure-Track Faculty are eligible for Hatch Salary funding therefore only these Faculty can be PIs/PDs.
Question #4

How are new team Hatch projects (regular or multistate) reviewed?

1) Submit draft project to Department Head of lead Project Director.
2) Department Head conducts general review—look for major gaps or flaws. Can ask other Department Head(s) to review.
3) Submit to ARD. ARD will schedule peer review meeting with faculty reviewers and Department Heads.
   • Follow review guidelines (http://ard.unl.edu/hatch-projects)
   • Comment on roles/responsibilities and project management
4) OR, if timing is appropriate, submit to Hatch multistate competition (instead of steps 1-3)
I have an Active Hatch project but plan to retire or leave the University before the end date. What are my options?

1. Consult with your Unit Head about finding a replacement whether it be a Co-PI already on the project or another tenure-track faculty member with similar research interests.

2. Contact the ARD office to initiate a Project change.

If a suitable replacement cannot be identified or there is no interest in continuing the project then a Final report should be filed to terminate the project. If the end date is more than 90 days from when the Final Report will be filed then a Project change needs to be initiated. Contact the ARD office to start the process.
Questions?

Feel free to contact ARD:

Deb Hamernik  
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Jaclyn Monaghan  
(jmonaghan2@unl.edu)
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