ARD Hatch Multistate RFA

Agricultural Research Division (ARD)

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December 5, 2017
Future Seminar/Webinar

Wednesday, December 6 from 3:30 – 5:00
Preparation of Annual Progress Reports
for USDA NIFA
Background

**Hatch Act** (1887) allocated funds for research to 1862 land grant universities to “promote sound and prosperous agriculture and rural life.”

USDA NIFA allocates Hatch funds to the State Agricultural Experiment Station (SAES) in all 50 states + DC + 6 territories (Guam, PR, VI, Micronesia...)—NOT a competitive process

ARD administers Hatch funds at UNL
Hatch Funds

1998 AREERA legislation:
• 25% of Hatch funds must be spent on multistate committees:
  • Bring scientists together to address common issues
  • Share information and resources
  • Minimize duplication of effort and resources
  • Annual meeting and annual progress report
  • Approved by NIFA
  • Administered by AES Directors in 4 regions
Hatch Funds—IANR (FY 2017)

Hatch “regular” funds: ~$3.0 million/year
Hatch “multistate” funds: ~$1.2 million/year
State match: ~$4.2 million/year
Total: ~$8.4 million/year
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Nebraska allocates Hatch Multistate funds to faculty to conduct research through a competitive process.

Many/most other states use Hatch Multistate funds to support faculty salaries.
Hatch Multistate Committees

First letter or two indicates region where the project was initiated and is now administered:

NC (North Central)
NE (Northeast)
S (Southern)
W (Western)

Can join any project in any region!

http://ncra.info/MSR_NomenclatureDefinitions.php
Types of National Multistate Committees

• After the regional designation (NC, NE, S, W) some types of national Multistate Committees have additional letters to designate the type of national Multistate Committee (AC, CC, DC, ERA)

• ARD faculty can join any type of national Multistate Committee

• Not all types of national Multistate Committees are eligible for ARD Hatch Multistate funding

  • Eligible: Multistate Research Committees
  • Not Eligible: AC, CC, DC, ERA

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees

Multistate **Research** Committees

- Primarily research
- Funding for research
- Support for travel to annual meetings
- Requires annual progress report in REEport

NC-xxxx; NE-xxxx; S-xxxx; W-xxxx

Numbers change when project renewed (or not)?

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees
Not Eligible for ARD Funding

AC: Advisory Committee
• NCAC-xxxx; NEAC-xxxx; SAC-xxxx; WAC-xxxx

CC: coordinating committee
• NCCC-xxxx; NECC-xxxx; SCC-xxxx; WCC-xxxx

DC: development committee
• NCDC-xxxx; NEDC-xxxx; SDC-xxxx; WDC-xxxx

ERA: education/extension and research activity
• NCERA-xxxx; NEERA-xxxx; SERA-xxxx; WERA-xxxx
Multistate Committees

How to find a relevant Multistate Committee?
 • Ask Department Head/Chair/Director
 • Ask departmental colleagues
 • Search NIMSS to find a committee: www.nimss.org
 • Ask ARD Associate Deans for assistance
To become a member of a national Multistate Committee:

- Must complete Appendix E to join the national, five-year committee to be official member of the national committee
- Appendix E: percent effort on objective(s) of national Multistate Committee that you work on
- No description of plan of work at Nebraska
- Can join the national Multistate Committee at any time during the five-year, national project
- Contact Jaclyn Monaghan (JMonaghan2@unl.edu)
Travel to annual Multistate Committee meeting:

- ARD provides travel funds to annual national, Multistate Committee meeting
  - Must be an official member of national committee
  - Contact Jaclyn Monaghan (JMonaghan2@unl.edu) for account number/approvals PRIOR to travel!

- ARD usually allows one ARD faculty member to attend one multistate committee meeting/year
  - If more than one ARD faculty want to travel to the same multistate meeting, contact Deb Hamernik (DHamernik2@unl.edu)

- NOT a competitive process
Summary

• Understand the different types of national Multistate Committees—not all national committees are eligible for ARD Hatch Multistate funding.
• Find a national Multistate Committee that aligns with your research interests
• Appendix E to become an official member of a national Multistate Committee
• Request travels funds to attend annual Multistate Committee meeting (JMonaghan2@unl.edu)
Questions?
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ARD competition:

• January 31, 2018 application deadline (11:59 pm) in NUgrant

• Funding start date October 1, 2018 (Federal FY2019 funds)

https://ard.unl.edu/hatch-multistate-funding
New for 2018

• Applicants **MUST** be a member of a national Multistate Research Committee prior to application for ARD Hatch Multistate funds.

• At any point in time, an individual may serve as the lead PD on **only one funded project** from the ARD Hatch Multistate Research program.

• Non-tenure track eligibility expanded to include Research Professors, Professors of Practice, Extension Educators (assistant, associate, or full) if they are the lead PD on a current external research grant (with PCS code of research in NUgrant and routed via IANR in NUgrant).
New for 2018 --continued

- Applicants seeking funding for a second, five-year Hatch Multistate Research project are expected to have leveraged their previous Hatch Multistate Research funding into significant extramural funding (from federal, foundation, or private sources).

- ARD will not accept invoices from collaborators outside the US.

- Requests for equipment ($>5,000) will usually not be approved.

- Requests to carry forward funding will generally not be considered.

- Budgets for Enhanced Research Projects must not exceed $100,000 per year.

- Budgets for Supplemental Research Projects must not exceed $10,000 per eligible person per year.
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ARD competition:
- Supplemental Research Funding
  - Up to $10,000/year x 4 or 5 years
  - Each Co-PD (if eligible) can receive $10,000/year x 4 or 5 years

- Enhanced Research Funding
  - Up to $100,000/year x 4 or 5 years
Supplemental Research Funding

Intent: MUST supplement “other” funding that is already available to ARD faculty.

• Multiple ARD faculty on one national Multistate Committee can submit one application.
• Each ARD faculty member on the national Multistate Committee (if eligible) can receive up to $10,000/year.
• Individual roles/responsibilities *must* be described.
• Annual progress report required in REEport
**Enhanced Research Funding**

Intent: opportunity for ARD faculty to have a more significant impact, regardless of other funding available to ARD faculty

- Multiple ARD faculty on one multistate project can submit one application.
- **Non-tenure track faculty**: same eligibility requirements as for Supplemental and Enhanced Funding.
- Individual roles/responsibilities *must* be described.
- Annual progress report required in REEport
Enhanced Research Funding

- Areas of science vital to Nebraska...
- Research areas for which additional investment would enable ARD faculty to leverage these funds to obtain significant extramural funding
Enhanced Research Funding

• If your application for Enhanced Research Funding is not selected for funding, the application will automatically be considered for Supplemental Research Funding (if eligible)

• Do NOT apply to both programs!
Enhanced Research Funding

Eligibility:
- Complicated!
- Use the 2 Decisions trees...
Are you a tenure-track faculty with an ARD appointment OR a non-tenure track or adjunct faculty?

- Yes
  - Are you the lead Project Director (PD) on a current external research grant (with PCS code of Research in NUgrant and routed via IANR) OR the PD on self-generated funds (e.g., revolving funds)?
    - Yes: Not Eligible
    - No: Not Eligible for funding, But can be a Co-PD on the project

- No
  - Are you a member of a national, Multistate Committee? (e.g., Multistate Research Committee)
    - Yes: Consider participating in a national Multistate Committee; visit: [www.nimss.org](http://www.nimss.org) to find a relevant committee. Contact Jaclyn Monaghan to submit an Appendix E to join a national, Multistate Committee
    - No: Eligible for 5 years of support

*AC = Advisory Committee, CC = Coordinating Committee, DC = Development Committee, ERA = integrated research, education, or extension activity

Your next opportunity to apply for funding is January 31 prior to the national Multistate Research Committee renewal date
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Eligibility—First:

Required: Member of a national Multistate Research Committee (NC-xxxx; NE-xxxx; S-xxxx; W-xxxx)

- Multistate Research Committee starts October 1, 2018
  - 5 years of ARD funding
  - National Multistate Research Committee being renewed now

- Multistate Research Committee started October 1, 2017
  - 4 years of ARD funding

NOT eligible:

- National Multistate Research Committees started prior to October 1, 2017
- AC, CC, DC, or ERA committees
Eligibility--Second:

*Enhanced Funding*:

- Project Director must have a tenure-track appointment in ARD, OR
- Project Directors with adjunct or non-tenure track appointments* are eligible if they are the lead PD on a current research grant (PCS code of Research in NUgrant and routed via IANR)

*Research Professor, Professor of Practice, Extension Educator (assistant, associate, or full)
Eligibility--Third:  

**Supplemental Funding:**

- The Project Director and Co-Project Director(s) in tenure-track, adjunct, or non-tenure track appointments* AND must be the lead PD on:
  - A current research grant (PCS code of Research in NUgrant and routed via IANR), OR
  - Self-generated funding (e.g., revolving)

*Research Professor, Professor of Practice, Extension Educator (assistant, associate, or full)
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Eligibility--Fourth:

• Co-Project Directors and collaborators can be affiliated with any University of Nebraska campus or employed outside the University of Nebraska system.

• External collaborators can only invoice expenses to the project; ARD will not transfer funds outside IANR.

• ARD will not accept invoices for expenses from collaborators outside the United States.
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Eligibility--Fifth:

• At any point in time, an individual may serve as the lead PD on only one funded project from the ARD Hatch Multistate Research funding opportunity.

• An individual may serve as a Co-PD, collaborator, or team member on other funded projects from this program.
Title and Timeframe

Title of the project **MUST** include the five-year national Multistate Committee number.

Start and end dates of your project **MUST** match those of the national Multistate committee (e.g., October 1, 20xx – September 30, 20xx.)
Project Description

5 page limit:

- Statement of Issues & Justification
- Related Current & Previous Work
  - (REEIS or CRIS search)
- Goals/Objectives/Expected Outputs
  - List goals of national Multistate Committee
  - List goals/objectives of Nebraska project
- Methods of the Nebraska Project
- Target Audience
- Expected Outcomes
- Milestones and Timeline
Previous Impact

• All previous ARD funding (except start-up packages)
• Applications for a second, five-year Hatch Multistate project are expected to have leveraged their previous Hatch Multistate project into significant extramural funding (federal, foundations, or private sector)
• If no previous ARD funding, not needed
Project Description

Second additional page (page 7):

- Literature Citations
  - Not included in the 5-page limit for the Project Description
Project Description

Methods of the Nebraska Project:

- *Describe methods of Nebraska project & how it contributes to National Multistate Committee*
- Emphasize general, scientific methods and any unique aspects or significant departures from usual methods.
- Describe the analysis, evaluation, and interpretation of results.
- Include source and programmatic objectives of all current research funding that relates to the Multistate Research Committee.
- Describe plans for leveraging Enhanced Research funding into significant external funding.
Budget

Budget Form:

- Download the OSP Budget Template (old) at: https://unl.app.box.com/s/guh578jtb4d88tqys3pmsk6n53rsgtfc
- Enter your budget information (annual + total)
- Save as PDF to your computer
- Upload PDF into NUgrant application

Seek assistance from the Grant Specialist(s) in your Business Center regarding allowable expenses
Budget

Requests to carry forward funding (no-cost extensions) will usually **NOT** be approved.

Supplemental Research Projects:
- Must not exceed $10,000 per eligible team member per year

Enhanced Research Projects:
- Must not exceed $100,000 per year
Budget

NOT Allowed:

- **Tuition remission for graduate assistants**
- Construction/renovation
- Administrative assistance
- International travel (lodging, meals,...)
- Summer salary for faculty
- Release time for teaching
- Subawards outside IANR
- Equipment requests >$5,000 MUST be approved by ARD

https://ard.unl.edu/docs/policies/Capacity%20Fund%20Expenditure%20Guidelines.pdf
Budget Justification:

• Important to justify all expenses
• Describe and justify costs (salaries, operating, travel, publications, etc.) for each year of the project
Review Process

Supplemental Research Funding:
• ARD Deans review & make final decisions

Enhanced Research Funding:
• ARD Deans will conduct initial review
• *May* conduct external review—PD will be asked for names of potential external reviewers
• ARD Deans make final funding decisions
Evaluation Criteria

- Scientific merit, relevance to Nebraska, alignment with goals/objectives of national multistate committee (45 points);
- Potential for extramural funding (25 points);
- Potential for impact on PD/Co-PD’s overall research efforts (20 points); and
- Budget appropriate to proposed activities (10 points).
Awardees

Award or decline message through NUgrant

Awards: Jaclyn Monaghan contacts the PD
  • PD required to enter Project Initiation Forms in the USDA NIFA REEport database

NIFA MUST approve the Nebraska project before ARD will release funds
IRB and IACUC Approvals

IMPORTANT: If your research involves Human Subjects and/or Vertebrate Animals you MUST have IRB and/or IACUC approvals before submitting the Project Initiation forms.

NOTE: If animal or human subjects research is done at a collaborating institution, send copy of approval letter to ARD before submitting Project Initiation forms.
ARD will NOT release funds, if:

1) NIFA does not approve the Nebraska project,
2) NIFA does not approve the National Multistate Committee, or
3) NIFA does not provide sufficient Hatch funding to Nebraska for multistate research activities
Summary

• ARD competition for Hatch Multistate Funds
• ARD faculty must be member of Hatch Multistate Research Committee
• National Multistate Committee must start on October 1, 2018 or October 1, 2017
• Deadline: January 31, 2018 in NUgrant
• Funding starts: October 1, 2018 (FFY2019 $)
• Supplemental Research Funding: $10,000/eligible team member per year
• Enhanced Research Funding: $100,000/year
Questions?
Question #1

I am a member of a national Multistate Committee and I would like travel funding from ARD to attend the annual meeting. Must I apply for funding by January 31, 2018 in NUgrant?

NO. Once an annual Multistate Committee meeting is officially authorized, contact the ARD office (JMonaghan2@unl.edu) for the account number. Follow the UNL travel authorization procedures. If more than one person from UNL wants to attend the annual meeting, contact Deb Hamernik (DHamernik2@unl.edu).
Question #2

I would like to apply for Supplemental Research funding and I meet all of the eligibility requirements. I need a Co-Project Director to help with statistics--this Professor does not have current external funding for research. Can this Professor still be a Co-PD on my application?

Yes. Faculty can be listed as a Co-PD on applications for Supplemental Funding without meeting all of the eligibility requirements for funding. If the Co-PD does not meet the eligibility requirements for funding, the Co-PD cannot receive up to $10,000/year for the project.
Question #3

Can I be the lead PD on more than one application for Enhanced Research funding during this funding cycle?

Technically, you could submit more than one application for Enhanced Research funding as the lead PD. However, ARD *strongly discourages* faculty to submit more than one application for Enhanced Research funding as the lead PD. At any point in time, an individual may serve as PD on only one funded project from the Hatch Multistate program. ARD strongly encourages faculty to choose the project that best aligns with their priorities/resources and to spend their time writing one very good application for Enhanced Research funding. Also, please consult with your Unit Administrator to select the best project for your resources.
Question #4

Can I be the lead PD on one application for Enhanced Research funding and one application for Supplemental Research funding during this funding cycle?

*Do NOT submit an application for Enhanced and Supplemental funding on the same project.* If your application for Enhanced Research funding is not supported, it will automatically be considered for Supplemental Research funding. If ARD decides to fund your application at the Supplemental level of support, you will be asked to revise the objectives and budget.
Question #5

Can I be the lead PD on one application for Enhanced Research funding and a Co-PD on multiple applications for Enhanced Research funding and/or Supplemental Research funding during this funding cycle?

Yes (as long as you meet all of the eligibility requirements).
Questions?

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Associate Director, NE Ag Exp Station  
Interim Assoc VC for Research (ORED)

Jaclyn Monaghan  
Data Management Specialist