ARD Hatch Multistate RFA

Agricultural Research Division (ARD)

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November 18, 2016
Future Seminar/Webinar

Wednesday, November 30 from 3:00 – 5:00 CST
Preparation of Annual Progress Reports for USDA NIFA
Background

**Hatch Act** (1887) allocated funds for research to 1862 land grant universities to promote sound and prosperous agriculture and rural life.

USDA NIFA allocates Hatch funds to the State Agricultural Experiment Station (SAES) in all 50 states + DC + 6 territories (Guam, PR, VI, Micronesia...)—NOT a competitive process

ARD administers Hatch funds at UNL
1998 AREERA legislation:
• 25% of Hatch funds must be spent on multistate committees:
  • Bring scientists together to address common issues
  • Share information and resources
  • Minimize duplication of effort and resources
  • Annual meeting and annual progress report
Hatch Funds—IANR (FY 2016)

Hatch “regular” funds: ~$3.0 million/year

Hatch “multistate” funds: ~$1.2 million/year

State match: ~$4.2 million/year

Total: ~$8.4 million/year
ARD Hatch Multistate RFA

Nebraska allocates Hatch Multistate funds to faculty to conduct research through a competitive process

Many/most other states use Hatch Multistate funds to support faculty salaries
Hatch Multistate Committees

First letter or two indicates region where the project was initiated and is now administered:

NC (North Central)
NE (Northeast)
S (Southern)
W (Western)

Can join any project in any region!

http://ncra.info/MSR_NomenclatureDefinitions.php
Types of National Multistate Committees

- After the regional designation (NC, NE, S, W) some types of national Multistate Committees have additional letters to designate the type of national Multistate Committee (AC, CC, DC, ERA)
- ARD faculty can join any type of national Multistate Committee
- Not all types of national Multistate Committees are eligible for ARD Hatch Multistate funding
  - **Eligible**: Multistate Research Committees & NRSP
  - **Not Eligible**: AC, CC, DC, ERA

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees

Multistate Research Committees
- Primarily research
- Funding for research
- Support for travel to annual meetings
- Requires annual progress report in REEport

NC-xxxx; NE-xxxx; S-xxxx; W-xxxx

Numbers change when project renewed (or not)?

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees

**NRSP**: National Research Support Projects

- Funded by “off the top” Hatch dollars
- Develop enabling technologies or sharing facilities
- Funding for research
- Support to travel to annual meetings
- Requires annual progress report in REEport
- Very few NRSP (<10)

**NRSP-xx**

(NRSP-8) National Animal Genome Research Program

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees

500 Series:

- Maximum of 2 year project
- Mechanism to respond to acute crisis
- Funding for research
- Can be information exchange only
- Support travel to annual meeting
- Requires annual progress report in REEport

NC-5xx; NE-5xx; S-5xx; W-5xx

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees

AC: Advisory Committee
- Committee of Department Heads/Chairs from a specific discipline (e.g., animal science)
- No funding for research—NOT eligible for Hatch Multistate competition
- Support travel to annual meeting
- No progress report

NCAC-xxxx; NEAC-xxxx; SAC-xxxx; WAC-xxxx

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees

**CC**: coordinating committee

- Information exchange only
- No funding for research—NOT eligible for ARD Hatch Multistate competition
- Support travel to annual meetings
- Requires annual progress report in REEport

NCCC-xxxx; NECC-xxxx; SCC-xxxx; WCC-xxxx

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees

**DC:** development committee
- Approved for up to 2 years
- Purpose: develop a new Multistate Committee
- Expected outcome: new 5-year project
- No funding for research—NOT eligible for ARD Hatch Multistate competition
- Support travel to 1 or 2 meetings
- No progress report

**NCDC-xxxx; NEDC-xxxx; SDC-xxxx; WDC-xxxx**

http://ncra.info/MSR_NomenclatureDefinitions.php
Hatch Multistate Committees

ERA: education/extension and research activity
- Integrate education (academic or extension) and research
- No funding for research—NOT eligible for ARD Hatch Multistate competition
- Support travel to annual meetings
- Requires annual progress report in REEport

NCERA-xxxx; NEERA-xxxx; SERA-xxxx; WERA-xxxx

http://ncra.info/MSR_NomenclatureDefinitions.php
Multistate Committees

How to find a relevant Multistate Committee?

- Ask Department Head/Chair/Director
- Ask departmental colleagues
- Search NIMSS to find a committee: www.nimss.org
- Ask ARD Associate Deans for assistance
To become a member of a national Multistate Committee:

- Must complete Appendix E to join the national, five-year committee to be official member
- Appendix E: percent effort on objectives of national Multistate Committee that you work on
- No description of plan of work at Nebraska
- Can join the national Multistate Committee at any time during the five-year, lifespan
- Contact Jaclyn Monaghan (JMonaghan2@unl.edu)
Travel to annual Multistate Committee meeting:

• ARD provides travel funds to Multistate Committee member(s)
  • Contact Jaclyn Monaghan (JMonaghan2@unl.edu) for account number/approvals PRIOR to travel!

• ARD usually allows one ARD faculty member to attend one multistate committee meeting/year
  • If more than one ARD faculty want to travel to the same multistate meeting, contact Gary Brewer (GBrewer2@unl.edu)

• NOT a competitive process

• There is no deadline to apply for travel funds
Summary

• Understand the different types of national Multistate Committees
• Find a national Multistate Committee that aligns with your research interests
• Become a member of a national Multistate Committee
• Request travels funds to attend annual Multistate Committee meeting
Questions?
ARD Hatch Multistate RFA

ARD competition:

• January 31, 2017 application deadline (11:59 pm) in NUgrant

• Funding start date October 1, 2017 (Federal FY2018 funds)

http://ard.unl.edu/hatch-multistate-funding
ARD Hatch Multistate RFA

ARD competition:

- Supplemental Research Funding
  - Up to $10,000/year x 4 or 5 years
  - Each Co-PD (if eligible) can receive $10,000/year x 4 or 5 years

- Enhanced Research Funding
  - Up to $100,000/year x 4 or 5 years
Supplemental Research Funding

Intent: MUST supplement “other” funding that is already available to ARD faculty.

- Multiple ARD faculty on one national Multistate Committee can submit one application.
- Each ARD faculty member on the national Multistate Committee (if eligible) can receive up to $10,000/year.
- Individual roles/responsibilities *must* be described.
- Annual progress report required in REEport
Enhanced Research Funding

Intent: opportunity for ARD faculty to have a more significant impact, regardless of other funding available to ARD faculty

• Multiple ARD faculty on one multistate project can submit one application.
• Non-tenure track faculty: same eligibility requirements as for Supplemental and Enhanced Funding.
• Individual roles/responsibilities must be described.
• Annual progress report required in REEport
Enhanced Research Funding

• Areas of science vital to Nebraska...

• Research areas for which additional investment would enable ARD faculty to leverage these funds to obtain significant extramural funding
Enhanced Research Funding

- If your application for Enhanced Research Funding is not selected for funding, the application will automatically be considered for Supplemental Research Funding (if eligible).
- Do NOT apply to both programs!
ARD Hatch Multistate RFA

Eligibility—First:
Required: Member of a national Multistate Committee
- National Multistate Committee starts October 1, 2017
  - 5 years of ARD funding
  - National Multistate Committee being renewed now
- National Multistate Committee started October 1, 2016
  - 4 years of ARD funding

- **NOT** eligible: national multistate committees started prior to October 1, 2016
ARD Hatch Multistate RFA

Eligibility--Second:

• Must be a member of one of the following types of national Multistate Committees:
  • Multistate Research Committee
    (NC-xxxx; NE-xxxx; S-xxxx; W-xxxx)
  • National Research Support Project (NRSP-x)
  • 500 series (NC-5xx; NE-5xx; S-5xx; W-5xx)

• AC, CC, DC, or ERA are NOT eligible
ARD Hatch Multistate RFA

Eligibility--Third: Enhanced Funding:

• Project Director must have a tenure-track appointment in ARD, OR
• Those Project Directors or Co-Project Directors with adjunct or non-tenure track appointments are also eligible if they are the lead PD on:
  • A current research grant (PCS code of Research in NUgrant and routed via IANR), OR
  • Self-generated funding (e.g., revolving)
ARD Hatch Multistate RFA

Eligibility--Fourth: Supplemental Funding:

• The Project Director and Co-Project Director(s) in tenure-track, adjunct, or non-tenure track appointments AND must be the lead PD on:
  • A current research grant (PCS code of Research in NUgrant and routed via IANR), OR
  • Self-generated funding (e.g., revolving)
ARD Hatch Multistate RFA

Eligibility--Fifth:

• Co-Project Directors and collaborators can be affiliated with any University of Nebraska campus or employed outside the University of Nebraska system

• External collaboration can only invoice expenses to the project; ARD will not transfer funds outside IANR
Are you a tenure-track faculty with an ARD appointment OR a non-tenure track or adjunct faculty?

Yes →

Are you the lead Project Director (PD) on a current external research grant (with PCS code of Research in NUgrant and routed via IANR) OR the PD on self-generated funds (e.g., revolving funds)?

Yes →

Are you a member of a national, Multistate Committee? (e.g., Multistate Research Committee or NRSP*)

Yes →

Does the national Multistate Research Committee or NRSP start on October 1, 2017?

Yes →

Eligible for 5 years of support

NO →

NO →

Eligible for 4 years of support

Not Eligible for funding, but can be a Co-PD on the project

NO →

Not Eligible

No →

NO →

Did the national Multistate Research Committee or NRSP start on October 1, 2016?

Yes →

Did the national Multistate Research Committee or NRSP start before October 1, 2016?

Yes →

Your next opportunity to apply for funding is January 31 prior to the national Multistate Research Committee or NRSP renewal date

NO →

NO →

Not Eligible

*NRSP = National Research Support Project
**AC = Advisory Committee, CC = Coordinating Committee, DC = Development Committee, ERA = integrated research, education, or extension activity
Decision Tree
Hatch Multistate Funding
ENHANCED Research Funding
November 18, 2016

Are you a tenure-track faculty with an ARD appointment?

YES

Are you a member of a national, Multistate Committee? (e.g., Multistate Research Project or NRSP*)
**{AC, CC, DC, ERA Multistate Committees are not eligible}

YES

Are you a non-tenure track or adjunct faculty AND the lead Project Director on a current external research grant (with PCS code of Research in NUgrant and routed via IANR) OR the PD on self-generated funds (e.g., revolving funds)?

YES

Consider participating in a national Multistate Committee; visit: www.nimss.org to find a relevant committee
Contact Jaclyn Monaghan to submit an Appendix E to join a national Multistate Committee

NO

NO

Are you a non-tenure track or adjunct faculty AND the lead Project Director on a current external research grant (with PCS code of Research in NUgrant and routed via IANR) OR the PD on self-generated funds (e.g., revolving funds)?

NO

Not Eligible

NO

Did the national Multistate Research Committee or NRSP start before October 1, 2016?

YES

Not Eligible

NO

Did the national Multistate Research Committee or NRSP start on October 1, 2016?

YES

Eligible for 5 years of support

NO

Did the national Multistate Research Committee or NRSP start on October 1, 2016?

NO

NO

Eligible for 4 years of support

YES

Consider participating in a national Multistate Committee; visit: www.nimss.org to find a relevant committee
Contact Jaclyn Monaghan to submit an Appendix E to join a national Multistate Committee

YES

Eligible for 5 years of support

Your next opportunity to apply for funding is January 31 prior to the national Multistate Research Committee or NRSP renewal date

*NRSP = National Research Support Project
**AC = Advisory Committee, CC = Coordinating Committee, DC = Development Committee, ERA = integrated research, education, or extension activity
Title and Timeframe

Title of the project MUST include the five-year national Multistate Committee number.

Start and end dates of your project MUST match those of the national Multistate committee (e.g., October 1, 20xx – September 30, 20xx)
Project Description

5 page limit:

• Statement of Issues & Justification
• Related Current & Previous Work
  • (REEIS or CRIS search)
• Goals/Objectives/Expected Outputs
  • List goals of national Multistate Committee
• Methods of the Nebraska Project
• Target Audience
• Expected Outcomes
• Milestones and Timeline
1 additional page (page 6):

- **Previous Impact**
  - All previous ARD funding (except start-up packages)
  - If no previous ARD funding, not needed

Second additional page (page 7):

- **Literature Citations**
  - Not included in the 5-page limit
Methods of the Nebraska Project:

- Describe methods of Nebraska project & how it contributes to National Multistate Committee
- Identify specific research to be conducted including: analysis, evaluation, and interpretation of results
- List the source and programmatic objectives of all current research funding that relates to the national Multistate Committee
- Describe plans for leveraging Enhanced Research funding into significant external funding
Budget Form:

- Download the OSP Budget Template (old) at: https://unl.app.box.com/s/guh578jtb4d88tqys3pmsk6n53rsgtfc
- Enter your budget information (annual + total)
- Save as PDF to your computer
- Upload PDF into NUgrant application

Seek assistance from the Grant Specialist(s) in your Business Center regarding allowable expenses
Budget

NOT Allowed:
- Tuition remission for graduate assistants
- Construction/renovation
- Administrative assistance
- International travel (lodging, meals,...)
- Summer salary for faculty
- Release time for teaching
- Subawards outside IANR
- Equipment requests MUST be approved by ARD

http://ard.unl.edu/docs/policies/Capacity%20Fund%20Expenditure%20Guidelines.pdf
Budget Justification:

- Very important to justify all expenses
- Describe and justify costs (salaries, operating, travel, publications, etc.) for each year of the project
Review Process

Supplemental Research Funding:
• ARD Deans review & make final decisions

Enhanced Research Funding:
• ARD Deans will conduct initial review
• *May* conduct external review—PD will be asked for names of potential external reviewers
• ARD Deans make final funding decisions
Awardees

Award or decline message through NUgrant

Awards: Jaclyn Monaghan contacts the PD
• PD required to enter Project Initiation Forms in the USDA NIFA REEport database

NIFA MUST approve the Nebraska project before ARD will release funds
ARDA will NOT release funds, if:

1) NIFA does not approve the Nebraska project,
2) NIFA does not approve the National Multistate Committee, or
3) NIFA does not provide sufficient Hatch funding to Nebraska for multistate research activities.
Summary

- ARD competition for Hatch Multistate Funds
- ARD faculty must be member of Hatch Multistate Research Committee, NRSP or 500 series
- National Multistate Committee must start on October 1, 2017 or October 1, 2016
- Deadline: January 31, 2017 in NUgrant
- Funding starts: October 1, 2017 (FFY2018 $)
- Supplemental Research Funding: $10,000/year
- Enhanced Research Funding: $100,000/year
Questions?

Feel free to contact ARD:

Gary Brewer
Hector Santiago
Deb Hamernik

Jaclyn Monaghan
Jared Evert
I am a member of a national Multistate Committee and I would like travel funding from ARD to attend the annual meeting. Must I apply for funding by January 31, 2017 in NUgrant?

NO. The RFA is confusing. Once an annual Multistate Committee meeting is officially authorized, contact the ARD office (JMonaghan2@unl.edu) for the account number. Follow the UNL travel authorization procedures.
Question #2

I would like to apply for Supplemental Research funding and I meet all of the eligibility requirements. I need a Co-Project Director to help with statistics--this Professor does not have current external funding for research. Can this Professor still be a Co-PD on my application?

Yes. Faculty can be listed as a Co-PD on applications for Supplemental Funding without meeting all of the eligibility requirements for funding. If the Co-PD does not meet the eligibility requirements for funding, the Co-PD cannot receive up to $10,000/year for the project.
Question #3

Can I be the lead PD on more than one application for Enhanced Research funding during this funding cycle?

Technically, you could submit more than one application for Enhanced Research funding as the lead PD. However, ARD *strongly discourages* faculty to submit more than one application for Enhanced Research funding as the lead PD. It is highly unlikely that ARD will fund 2 Enhanced Research applications from one lead PD. ARD strongly encourages faculty to choose the project that best aligns with their priorities/resources and to spend their time writing one very good application for Enhanced Research funding. Also, please consult with your Unit Administrator to select the best project for your resources.
Can I be the lead PD on one application for Enhanced Research funding and one application for Supplemental Research funding during this funding cycle?

Technically, you could submit one application for Enhanced Research funding and one application for Supplemental Research funding as the lead PD—as long as these applications are for different projects. Do NOT submit an application for Enhanced and Supplemental funding on the same project. If your application for Enhanced Research funding is not supported, it will automatically be considered for Supplemental Research funding. If ARD decides to fund your application at the Supplemental level of support, you will be asked to revise the objectives and budget.
Question #5

Can I be the lead PD on one application for Enhanced Research funding and a Co-PD on multiple applications for Enhanced Research funding and/or Supplemental Research funding during this funding cycle?

Yes (as long as you meet all of the eligibility requirements).
Questions?

Feel free to contact ARD:

Gary Brewer
Hector Santiago
Deb Hamernik

Jaclyn Monaghan
Jared Evert